

08/809224

80 Rec'd PCT/PTO 25 MAR 1997  
PATENT/TDocket No. 199505219**CERTIFICATE OF MAILING (37 CFR 1.10)****"Express Mail" No.:** TB68948725X US **Date of Deposit:** November 4, 1996

I hereby certify that this transmittal together with the patent application referred to below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie Lyons, Legal Technician  
Name of Person Mailing Paper

Julie Lyons  
Signature

**TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)**

International Application No. : PCT/US95/05219  
 International Filing Date : 4 May 1995  
 Priority Date Claimed : 6 May 1994  
 Title of Invention : *Compounds Useful to Treat Retroviral Infections*  
 Applicant(s) for EO/US : Karen Rene Romines, et al.

To the United States Elected Office (EO/US):

This document and any attachments are being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears above.

1. The above-identified international application was searched and preliminarily examined by the European Patent Office (EPO) acting as International Search Authority (ISA) and International Preliminary Examination Authority (IPEA), respectively. Accompanying this transmittal letter are certain items which are required under 35 USC 371 in order that United States National processing of the above identified International application may commence at the expiration of the applicable time under PCT Article 39(1)(a) according to the provisions of 35 USC 371(b).

**THE CLAIMS NOW PENDING AND PRESENTED FOR EXAMINATION ARE:**

- ☐ THE CLAIMS ATTACHED TO THE PRELIMINARY EXAMINATION REPORT (SEE ¶5),
  - ☐ THE CLAIMS SUBMITTED IN THE PRELIMINARY AMENDMENT ENCLOSED HEREWITH, AND/OR
  - ☒ THE CLAIMS AS ORIGINALLY FILED, WHICH
    - ☐ WERE
    - ☒ WERE NOT
 AMENDED DURING PRELIMINARY EXAMINATION.
2. A verified translation of the International Application into English [35 USC 371(c)(2)] is not required, as the application was filed in English.
  3. An oath or declaration of the inventor [35 USC 371(c)(4)] complying with 35 USC 116 is submitted herewith and such oath or declaration identifies the application and any amendments under PCT Article 19 which were transmitted as stated above, and states that they were reviewed by the inventor as required by 37 CFR 1.70.
  4. An International Search Report or Declaration under PCT Article 17(2)(a) has been transmitted by the International Bureau. Date of mailing (from form PCT/ISA/220): March 8, 1996

5. An International Preliminary Examination Report has been issued by the Preliminary Examination Authority. Date of mailing (from PCT/IPEA/416/08.87): July 2, 1996. A copy of this report and any annexes are enclosed herewith.
6. Please amend the above-identified application by providing a new cross reference to related applications before the first line of the specification which reads as follows:
- This application is the national phase of international application PCT/US95/05219, filed 4 May 1995, which is a continuation-in-part of U.S. Serial No. 08/349,361, filed 2 December 1994, now abandoned, which is a continuation-in-part of U.S. Serial No. 08/238,817, filed 6 May 1994, now abandoned. --
7. The U.S. National fee [37 CFR 1.492] is calculated below:

	No. Filed	No. Extra	Rate	Fee
<b>TOTAL CLAIMS FEE</b>	<b>39 - 20 =</b>	<b>19</b>	<b>x \$22</b>	<b>\$ 418.00</b>
<b>INDEPENDENT CLAIMS FEE</b>	<b>10 - 3 =</b>	<b>7</b>	<b>x \$80</b>	<b>\$ 560.00</b>
<b>[ ] MULTIPLE DEPENDENT CLAIM</b>			<b>\$260</b>	<b>\$ 0.00</b>
<b>BASIC FEE</b>				<b>\$ 1040.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 2,018.00</b>
<b>Surcharge for furnishing the National fee or declaration later than 30 months (but no later than 32 months) from any claimed priority date (37 CFR 1.445(a)(6))</b>			<b>\$130</b>	<b>\$ 0.00</b>
<b>TOTAL FEES ENCLOSED</b>				<b>\$ 2,018.00</b>

**SPECIFIC DEPOSIT ACCOUNT AUTHORIZATION.** Please charge my Deposit Account No. **21-0718** in the amount of the total fees above. Triplicate copies of this sheet are enclosed.

**GENERAL DEPOSIT ACCOUNT AUTHORIZATION.** The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or during the pendency of this application or credit any overpayment to Deposit Account No. **21-0718**:

- (1) Any additional filing fees or fees for the presentation of additional claims required under 37 CFR 1.16.
  - (2) Any patent application processing fees under 37 CFR 1.17.
- No authorization is given to charge the Issue Fee (37 CFR 1.18).

8. ☐ A Preliminary Amendment is enclosed.

9. A copy of the International Application as filed [35 USC 371(c)(2)] is not required, as the application was filed in the United States Receiving Office.

Respectfully submitted,

Date: 4 Nov. 1996

Martha A. Gammill  
Martha A. Gammill, Attorney  
Registration No. 31,820  
Telephone: (616) 833-7829

Mlg. Address: Intellectual Property Legal Services, Pharmacia & Upjohn Company, Kalamazoo, MI 49001

Attachments: Oath or Declaration  
International Preliminary Examination Report and Annexes  
[ ] Preliminary Amendment

**CERTIFICATE OF MAILING (37 CFR 1.10)****"Express Mail" No.:** 18689487700 US**Date of Deposit:** January 10, 1997

I hereby certify that this transmittal together with the patent application referred to below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Julie Lyons, Legal Technician**Name of Person Mailing Paper**Julie Lyons  
**Signature**

International Application No. : PCT/US95/05219  
International Filing Date : 4 May 1995  
Priority Date Claimed : 6 May 1994  
Title of Invention : Compounds Useful to Treat Retroviral Infections  
Applicant(s) : Karen Rene Romines, et al.

Assistant Commissioner for Patents  
Box PCT  
Washington DC 20231

Attention: Paul Bell/PCT Legal

**RECEIVED****25 MAR 1997****Legal Staff  
International Division****COMMUNICATION**

This communication is a follow-up to a telephone conversation between Julie K. Lyons, a Legal Technician at Pharmacia & Upjohn, and Mr. Paul Bell/ PCT Legal at the United States Patent and Trademark Office, regarding the filing of the above-identified application which represents the National Phase of International Application PCT/US95/05219.

A Transmittal Letter to the United States Elected Office (form PL-1390) was submitted to the United States Elected Office on 4 November 1996 requesting that United States National processing of the international application commence at the expiration of the applicable time under PCT Article 39(1)(a) according to the provisions of 35 USC 371(b). A copy of this Transmittal Letter (including the accompanying IPER and the Declaration and Power of Attorney) and a copy of the Express Mail Certificate are enclosed.

A return postcard acknowledging receipt of our Transmittal Letter to the United States Elected Office was received from the USPTO; however, no U.S. Serial No. was given on the postcard. A copy of this return postcard is also enclosed.

Assignment of a U.S. Serial No. representing that the United States National processing of this international application has commenced is respectfully requested.

Respectfully submitted,

Date: 10 Jan. 1997

Martha A. Gammill  
Martha A. Gammill, Attorney  
Registration No. 31,820  
Telephone: (616) 833-7829

Mlg. Address: Intellectual Property Legal Services, Pharmacia & Upjohn Company, Kalamazoo, MI 49001

Attachments: Copy of Return Postcard  
Copy of Express Mail Certificate  
Copy of Transmittal Letter to the U.S. Elected Office (Form PL-1390) with the  
IPER and Declaration and Power of Attorney forms

TB 509 487 25X US

**POST OFFICE  
TO ADDRESSEE**

SEE REVERSE FOR SERVICE GUARANTEE,  
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Telephone Number: <u>202-271-1111</u>	

CASE NO. <u>4798-PCP</u>	PAGES <u>5</u>	NO. OF CLAIMS <u>1</u>
APPLICANT(S) <u>Karen R. Romines, et al.</u>		
TITLE OF INVENTION <u>Compounds Useful to treat Retroviral Infections</u>		
FILING DATE _____	SERIAL NO. _____	
AFFIDAVIT - UNDER RULE _____		INTERFERENCE NO. _____
1390 ATTACHMENTS: <u>800-4 PCT/PTO 04 NOV 1996</u>		
<input checked="" type="checkbox"/> OATH OR DEPOSITION <input checked="" type="checkbox"/> IPER <input type="checkbox"/> PRELIMINARY AMENDMENT		
		MISSING PARTS
		ATTORNEY RY STATEMENT
<input type="checkbox"/> INFORMATION DISCLOSURE <u>MAG/5 11-4-96</u>		
<input checked="" type="checkbox"/> National Stage <u>Via Form 1390592</u>		